



### JC02 Rec'd PCT/PTO 17 AUG 2005

A36447-PC A (072819.0172)
PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Choi et al.

Serial No.

10/517,798

Filed

12/13/2004

For

Controlling Boundary Layer Fluid Flow

Customer No.:

21003

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

### **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

August 12, 2005
Date of Deposit

Paul A. Ragusa
Attorney Name

38,587

Patent Reg. No.

Signature

August 12, 2005
Date of Signature

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Responsive to a Notification of Missing Requirements Under 35 U.S.C. §371 mailed on May 25, 2005, a copy of which is enclosed, enclosed herewith is a Combined Declaration and Power of Attorney referring to the above-identified application by one or more of the following in compliance with 37 C.F.R. 1.497(a) and (b) and 37 CFR 1.492(e):

[X] Name of inventors and application serial number;

NY02:526812.1



- [X] Name of inventors, attorney docket number which was on the application as filed, and filing date of the application;
- [X] Name of inventors, title of invention and filing date;
- [ ] Name of inventor, title of invention and reference to a specification which is attached to the oath or declaration at the time of execution and filed with the oath or declaration;
- [ ] Name of inventor, title of invention. As presently advised, the undersigned, a registered attorney, states that the application filed in the PTO is the application which the inventor executed by signing the oath or declaration.
- [] Applicant does not believe that any additional fee is required in connection with the submission of this document. However, should any fee be required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377.
- [X] Applicant has enclosed the required fee of \$250.00 for the late declaration and one-month extension of time. Should any additional fee be required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377.

Respectfully submitted,

BAKER BOTTS L.L.P.

08/23/2005 GFREY1 000000

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130.00 np

Paul A. Ragusa

Paul A. Kagusa
Patent Office Reg. No. 3

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30 Rockefeller Center New York, NY 10112-4498 (212) 408-2500

Attorneys for Applicant(s)



# Rec'd PCT/PT 17 AUG 2005

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperw	ork Reduction Act of 1995.	no persons are re	equired to respond to a	collect	tion of info	ormation unless it displays a valid OMB control number.		
			lication Number	1	0/517,798			
TRANSMITTAL			g Date	1	2/13/20	2/13/2004		
FORM			Named Inventor	C	Choi et al.			
		Art I	Unit					
(to be used for all correspondence after initial filing)			miner Name	İ				
			rney Docket Number	-   <sub>Δ</sub>	A36447-PCT-USA (072819.0172)			
Total Number of Pag	ges in This Submission					1 01 00/(0/2010.01/2)		
	ENCLOSURES (Check all that apply)							
Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement		Licens Petition Petition Provisi Power Chang Termin Reque CD, No	ctition to Convert to a covisional Application cover of Attorney, Revocation cover of Correspondence Address cover of Correspondence Address cover of Cover			After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  Return Receipt Postcard		
Firm Name	SIGNAT	URE OF AF	PPLICANT, ATT	ORN	NEY, O	RAGENT		
	ker Botts LLP		Customer	No.	o 21003			
Signature								
Printed name Pa	Printed name Paul A. Ragusa							
Date 08/12/2005				Reg	. No.	38,587		
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on								
the date shown below Signature	- L		·					
Typed or printed name Paul A. Ragusa						Date 08/12/2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANS	RAITTAI	Complete if Known		
FEE IRANS	IVIIIIAL	Application Number	10/517,798	
for FY 2	005	Filing Date	12/13/2004	
Effective 10/01/2004. Patent fees are sul		First Named Inventor	Choi et al.	
Applicant claims small entity status. See 37 CFR 1.27		Examiner Name		
		Art Unit		
TOTAL AMOUNT OF PAYMENT	(\$) 250.00	Attorney Docket No.	A36447-PCT-USA (072819.0172)	

METHOD OF DAVIAGNET ( )				FFE CALCULATION ( )			
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)				
Check Credit card Money Other None			3. ADDITI	3. ADDITIONAL FEES			
Deposit Account:			Large Entity		Y		
Deposit	<u> </u>		<del></del>	Fee Code	Fee Code	Fee Description	Fee Paid
Account Number	02-4377			1051	2051	Surcharge - late filing fee or oath	130
Deposit	Baker Bo	otts L.L.P.		1052	2052	Surcharge - late provisional filing fee or	
Account Name	Daker BC	AIS L.L.F.				cover sheet	
The Director i	s authorized t	to: (check all that apply)		1053	1053	Non-English specification	
Charge fee	(s) indicated b	elow 🗸 Credit any o	overpayments	1812	1812	For filing a request for ex parte reexamination	
✓ Charge an	y additional fee	e(s) or any underpayment of	of fee(s)	1804	1804	Requesting publication of SIR prior to Examiner action	
Charge fee	(s) indicated b	elow, except for the filing	j fee	1805	1805	Requesting publication of SIR after	
to the above-io	lentified depos	it account.	_			Examiner action	120
	FEE (	CALCULATION		1251	2251	Extension for reply within first month	'20
1. BASIC F	ILING FEE	•		1252	2252	Extension for reply within second month	
Large Entity S	Small Entity  Fee Fee	Fee Description	Fee Paid	1253	2253	Extension for reply within third month	
Code	Code	ree Description	ree raid	1254	2254	Extension for reply within fourth month	
1001	2001	Utility filing fee		1255	2255	Extension for reply within fifth month	<del></del>
1002	2002	Design filing fee	<del>                                     </del>	1401	2401	Notice of Appeal	
1003	2003	Plant filing fee		1402	2402	Filing a brief in support of an appeal	
1004	2004	Reissue filing fee		1403	2403	Request for oral hearing	;
1005	2005	Provisional filing fee		1451	1451	Petition to institute a public use proceeding	
	•	SUBTOTAL (1) (\$) 0		1452	2452	Petition to revive - unavoidable	
".M		1453	2453	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		om	1501	2501	Utility issue fee (or reissue)		
Total Claims		Extra Claims below	Fee Paid	1502	2502	Design issue fee	
Independent	-20		===	1503	2503	Plant issue fee	
Claims Multiple Depe		= L × <u> </u>	] =[0	1460	1460	Petitions to the Commissioner	
			J =1U	1807	1807	Processing fee under 37 CFR 1.17(q)	
Fee Entity	Small Entity Fee	L Fee Description		1806	1806	Submission of Information Disclosure Stmt	
Code 1202	Code 2202	Claims in excess of 20	•	8021	8021	Recording each patent assignment per property (times number of properties)	
1202	2202	Independent claims in		1809	2809	Filing a submission after final rejection (37 CFR 1.129(a))	
1203	2203	Multiple dependent cla	im, if not paid	1810	2810	For each additional invention to be	
1204	2204	** Reissue independer over original patent	nt claims	1801	2801	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	
1205	2205	** Reissue claims in ex and over original pat		1802	1802	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00			Other fee (specify)				
SUBTOTAL (2) (\$\) 0.00  **or number previously paid, if greater; For Reissues, see above			*Reduced by	Basic Filing F	Fee Paid SUBTOTAL (3) (\$) 250.0	00	

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Paul A. Ragusa 38,587 Telephone 212-408-2500 (Attorney/Agent) Date Signature 08/12/2005

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDR SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### 17 AUG 2005 Rec'd PCT/HTO



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS ON Alexandria, Vinginia 22313-1450 www.uspio.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/517,798	Kwing-so Choi	A3644-PCT-USA (072819.017

INTERNATIONAL APPLICATION NO.

Paul A Ragusa **Baker Botts** 30 Rockefeller Plaza New York, NY 10112-4498

PCT/GB03/02548 I.A. FILING DATE PRIORITY DATE 06/13/2003 06/13/2002

**CONFIRMATION NO. 8967** 371 FORMALITIES LETTER \*OC000000016084942\*

Date Mailed: 05/23/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/13/2004
- Copy of the International Search Report filed on 12/13/2004
- Preliminary Amendments filed on 12/13/2004
- Information Disclosure Statements filed on 12/13/2004
- Request for Immediate Examination filed on 12/13/2004
- U.S. Basic National Fees filed on 12/13/2004
- Priority Documents filed on 12/13/2004

BAKER POTTS L.L.F.

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The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Docketed.

Total additional fees required for this application is \$130 for a Large Entity:

For 7 123/2005 + DE

\$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION.



The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO,
10/517,798	PCT/GB03/02548	A3644-PCT-USA (072819.017

FORM PCT/DO/EO/905 (371 Formalities Notice)